

Friedman Siegelbaum Docket No.: 2709/IBG

# **FRIEDMAN ☐ SIEGELBAUM DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name,

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if more than one inventor's name is listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled Identity Verification Method Using a Central Biometric Authority

Title of Invention

the specification of which: ☒ is attached hereto ☐ was filed on \_\_\_\_\_ Application Serial No. \_\_\_\_\_  
(for declaration not accompanying application)

with amendment(s) filed on \_\_\_\_\_  
(date(s) of all amendments)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119/§172 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119/172
			Yes _____ No _____
			Yes _____ No _____
			Yes _____ No _____

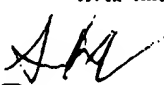
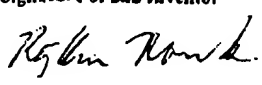
I hereby claim the benefit under Title 35, United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
60/085,514	May 14, 1998		X	

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint Stanley I. Rosen (Reg. No. 19,441), Richard I. Samuel (Reg. No. 24,435), Shahan Islam (Reg. No. 32,507), Theresa A. O'Rourke (Reg. No. 40,747) and Nicholas J. DuBois (Reg. No. 41,719) whose address is Friedman Siegelbaum, Seven Becker Farm Road, Roseland, New Jersey, 07068-1757 as my attorneys, to prosecute this application, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Send Correspondence To: SHAHAN ISLAM, ESQ. Direct Telephone Number FRIEDMAN SIEGELBAUM, Seven Becker Farm Road, Roseland, New Jersey 07068-1757 (973) 992-1990				
Full Name of 1st Inventor	Last Name Nanavati	First Name Samir	Middle Name H.	
Residence & Citizenship	City New York	State of Foreign Country New York	Country of Citizenship USA	
Home Address	No. and Street Address 21 West Street	City New York	State or Country NY	Zip Code 10006
Full Name of 2nd Inventor	Last Name Nanavati	First Name Rajkumar	Middle Name H.	
Residence & Citizenship	City New York	State of Foreign Country New York	Country of Citizenship USA	
Home Address	No. and Street Address 21 West Street	City New York	State or Country NY	Zip Code 10006
Full Name of 3rd Inventor	Last Name	First Name	Middle Name	
Residence & Citizenship	City	State of Foreign Country	Country of Citizenship	
Home Address	No. and Street Address	City	State or Country	Zip Code
Full Name of 4th Inventor	Last Name	First Name	Middle Name	
Residence & Citizenship	City	State of Foreign Country	Country of Citizenship	
Home Address	No. and Street Address	City	State or Country	Zip Code

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of 1st Inventor 	Signature of 2nd Inventor 	Signature of 3rd Inventor	Signature of 4th Inventor
5/14/99	5/14/99		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: (check one) ☒ Application of: Samir H. Nanavati and Rajkumar Nanavati  
(Inventor Names)

☐ Patent of:

☐ Serial No.: Not Assigned  
Serial No.

☐ Patent No.: \_\_\_\_\_  
Patent No.

☐ Filed.: Herewith  
Filing Date or Herewith

Examiner: \_\_\_\_\_

☐ Issued: \_\_\_\_\_  
Date of Issuance

Group Art Unit: \_\_\_\_\_

For: Identity Verification Method Using a Central Biometric Authority  
Title of Invention

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**[37 CFR 1.9(f) and 1.27(c)]-Small Business Concern**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of concern International Biometric Group, LLC

Address of concern 99 Park Avenue, Suite 28/A  
New York, NY 10016

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 4)(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention, entitled, Identity Verification Method Using a Central Biometric Authority by inventor(s) Samir H. Nanavati and Rajkumar H. Nanavati described in:  
(Title of Application)

Inventor Name(s)

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, filed \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name: \_\_\_\_\_  
Address: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Full Name: \_\_\_\_\_  
Address: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Full Name: \_\_\_\_\_  
Address: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is not longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:  
Shahan Islam  
FRIEDMAN SIEGELBAUM  
Seven Becker Farm Road  
Roseland, New Jersey 07068-1757

Direct Telephone calls to:  
Shahan Islam, Esq.  
(973) 992-1990

Name of person signing: Rajkumar H. Nanavati  
Address of person signing: 21 West Street  
New York, NY 10006

Signature: *Rajkumar H. Nanavati* Date: May 14, 1999

**NOTE:** Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities.